# PATENT COOPERATION TREATY



### From the INTERNATIONAL SEARCHING AUTHORITY



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To:							
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ING. A. GIAMBROCONO & C. S.R.L. Attn. Mancini, Vincenzo Via Rosolino Pilo, 19/b I-20129 Milan

# PCT

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION

(PCT Rule 44.1)

ITALY	(PCT Hule 44.1)
	Date of mailing (day/month/year) 13/02/2004
Applicant's or agent's file reference	FOR FURTHER ACTION See paragraphs 1 and 4 below
VM/gf G69064	
International application No.	International filing date (day/month/year)
PCT/EP 03/12889	(day/month/year) 18/11/2003
Applicant	
NEWRON PHARMACEUTICALS SPA	
	Don't have been established and in transmitted horouith
1. The applicant is hereby notified that the International Search	n Report has been established and is transmitted herewith.
Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the clain	ns of the International Application (see Rule 46):
When? The time limit for filing such amendments is normal International Search Report; however, for more de	ally 2 months from the date of transmittal of the etails, see the notes on the accompanying sheet.
Where? Directly to the International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Fascimile No.: (41–22) 740.14.35	5
For more detailed instructions, see the notes on the acco	ompanying sheet.
2. The applicant is hereby notified that no International Searc Article 17(2)(a) to that effect is transmitted herewith.	h Report will be established and that the declaration under
3. With regard to the protest against payment of (an) addition	onal fee(s) under Rule 40.2, the applicant is notified that:
the protest together with the decision thereon has bee applicant's request to forward the texts of both the pro-	en transmitted to the International Bureau together with the otest and the decision thereon to the designated Offices.
no decision has been made yet on the protest; the ap	plicant will be notified as soon as a decision is made.
4. Further action(s): The applicant is reminded of the following:	
Shortly after 18 months from the priority date, the international a If the applicant wishes to avoid or postpone publication, a notic priority claim, must reach the International Bureau as provided completion of the technical preparations for international public	e of withdrawal of the international application, of of the line Rules 90 <i>bis</i> .1 and 90 <i>bis</i> .3, respectively, before the ation.
Within 19 months from the priority date, a demand for internation wishes to postpone the entry into the national phase until 30 m	nal preliminary examination must be filed if the applicant onths from the priority date (in some Offices even later).
Within 20 months from the priority date, the applicant must perform before all designated Offices which have not been elected in the priority date or could not be elected because they are not boun	ne demand or in a later election within 19 months from the

Name and mailing address of the International Searching Authority

European Patent Office, P.B. 5818 Patentlaan 2

NL-2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Natalia Morancho Alcaine





These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

### INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international poulication. Furthermore, it should be emphasized that provisional protection is available in some States only.

## What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

#### When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been is filed, see below.

### How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

### What documents must/may accompany the amendments?

### Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

Notes to Form PCT/ISA/220 (first sheet) (January 1994)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

# The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]:
   "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers;
   claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all claims there are 11]:
   "Claims 1 to 15 replaced by amended claims 1 to 11."
- [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:
   "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or
   "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
- 4. [Where various kinds of amendments are made]: "Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

### "Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

# it must be in the language in which the international appplication is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

### Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Bule 62.2(a), first sentence).

## Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide

Notes to Form PCT/ISA/220 (second sheet) (January 1994)



(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER see Notification of (Form PCT/ISA/2	of Transmittal of International Search Report 220) as well as, where applicable, item 5 below.
VM/gf G69064	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
International application No.	International filing date (day/monthlyear)	
PCT/EP 03/12889	18/11/2003	16/01/2003
Applicant		
NEWRON PHARMACEUTICALS SPA	<u>A</u>	
according to Article 18. A copy is being to This International Search Report consists	of a total of 4 sheets.	
X It is also accompanied by	a copy of each prior art document cited in this	s report.
Basis of the report     With regard to the language, the	international search was carried out on the ba	asis of the international application in the
language in which it was filed, un	less otherwise indicated under this item.	
the international search v Authority (Rule 23.1(b)).	vas carried out on the basis of a translation of	the international application furnished to this
b. With regard to any nucleotide ar was carried out on the basis of the	nd/or amino acid sequence disclosed in the in the sequence listing:	nternational application, the international search
	onal application in written form.	
filed together with the inte	ernational application in computer readable for	m.
furnished subsequently to	o this Authority in written form.	
furnished subsequently to	o this Authority in computer readble form.	
the statement that the su international application a	bsequently furnished written sequence listing of as filed has been furnished.	does not go beyond the disclosure in the
the statement that the inf		is identical to the written sequence listing has been
furnished		
2. Certain claims were fou	und unsearchable (See Box I).	
3. Unity of invention is lac		
4. With regard to the title,		
the text is approved as s	ubmitted by the applicant.	
X the text has been establi	shed by this Authority to read as follows:	
ALPHA-AMINOAMIDE DERI	VATIVES USEFUL AS ANTIMIGRA	INE AGENTS
5. With regard to the abstract,		
_	ubmitted by the applicant.	
the text has been establi	shed, according to Rule 38.2(b), by this Author e date of mailing of this international search re	rity as it appears in Box III. The applicant may, eport, submit comments to this Authority.
	olished with the abstract is Figure No.	
as suggested by the app		X None of the figures.
because the applicant fa		<del></del>
, <u>—</u>	r characterizes the invention.	

International Application No PCT/EP 03/12889

A. CLASSIFICATION OF SUB IPC 7 A61K31/16 A61P25/06

According to International Patent Classification (IPC) or to both national classification and IPC

### **B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols) IPC 7 A61K A61P

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, CHEM ABS Data, BIOSIS, EMBASE

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 99 35125 A (PEVARELLO PAOLO ; VARASI MARIO (IT); NEWRON PHARM SPA (IT); SALVATI) 15 July 1999 (1999-07-15) cited in the application * page 2, formula I * page 3, line 5 page 12, line 23 - line 26 page 6, line 14 - line 17	1–15
Υ	WO 99 26614 A (CAI SUI XIONG ;LAN NANCY C (US); COCENSYS INC (US); WANG YAN (US)) 3 June 1999 (1999-06-03) cited in the application page 8, line 3 page 24, line 12 - line 22 * page 33, formula II *	1-15

Y Further documents are listed in the continuation of box C.	χ Patent family members are listed in annex.			
<ul> <li>Special categories of cited documents:</li> <li>'A' document defining the general state of the art which is not considered to be of particular relevance</li> <li>'E' earlier document but published on or after the international filing date</li> <li>'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>'O' document referring to an oral disclosure, use, exhibition or other means</li> <li>'P' document published prior to the international filing date but later than the priority date claimed</li> </ul>	<ul> <li>'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</li> <li>'&amp;' document member of the same patent family</li> </ul>			
Date of the actual completion of the international search  3 February 2004	Date of mailing of the international search report  13/02/2004			
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL – 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  Fax: (+31-70) 340-3016	Authorized officer  Albayrak, T			

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International Application No PCT/EP 03/12889

ategory °	Citation of document with indication where appropriets of the colored		
alegory *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	
	EP 1 123 702 A (EISAI CO LTD) 16 August 2001 (2001-08-16) page 3, line 9 - line 17 page 14; claim 4	1-15	
	•		

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Information on patent family members

International Application No PCT/EP 03/12889

Patent document cited in search report	<b>)</b>	Publication . date		Patent member(s)	Publication date
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			ĒĀ	2763 B1	29-08-2002
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			NO	20003399 A	02-08-2000
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			ΑU	1421599 A	15-06-1999
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